

UBS Self-directed Window Commission and Fee Schedule

Mutual Funds¹ - Fund listings are available in the Knowledge Center tab of the Aight Financial Solutions website.

No Transaction Fee (NTF) Funds - A subset of mutual funds offered with no transaction fees as long as the fund(s) is held longer than three (3) calendar months. Funds redeemed or exchanged within three (3) calendar months of the purchase date will incur a \$50 transaction fee.

Eligible NTF Funds	No Transaction Fee ²
NTF Funds Redeemed or Exchanged within 3 months of purchase date	\$50 Transaction Fee

Transaction Fee Funds

Purchase or Sale of No and Low-Load Funds	\$19.95
Exchange Between Funds in the same Fund Family and Share Class	\$10.00
Representative Assisted Transactions	Additional \$25.00 ³

Load Funds

Front-End Load Funds	Waived Sales Charge and No Transaction Fee
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Converted Funds

UBS Funds	NTF and PIP Eligible
PIMCO Funds	Transaction Fees Apply
American Funds	Waived Sales Charge and No Transaction Fee

Account Fees

Duplicate Statement	\$5.00
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Please note account statements are available online under the Communications tab.

¹ Mutual Funds are offered by prospectus only. Aight Financial Solutions (AFS) may receive servicing fees from money market funds and mutual fund companies in addition to the clearing and execution fees charged to you. Please refer to the AFS Plan Participant Agreement for additional details. Investors should consider carefully information contained in the mutual fund prospectus, including investment objectives, risks, charges, purchase minimums and expenses. You can view a mutual fund prospectus by logging into your account and clicking on "View Prospectus" from a mutual fund quote in Quotes & News.

² The no transaction fee (NTF) eligibility applies only to the initial transaction fee associated with the purchase of the fund. It does not apply to any management fees or other expenses associated with the fund, including any redemption fees. For full details on these expenses/fees, please refer to the mutual fund prospectus.

³ The representative assisted transaction fee only applies if a AFS representative places a trade for you. You can call and speak to a representative Monday through Friday from 9 am ET to 7pm ET for no additional charge.

Securities offered through Aight Financial Solutions LLC, member FINRA, SIPC. Aight Financial Solutions is a subsidiary of Aight Solutions LLC. Fee Schedule subject to change prospectively without prior notice.