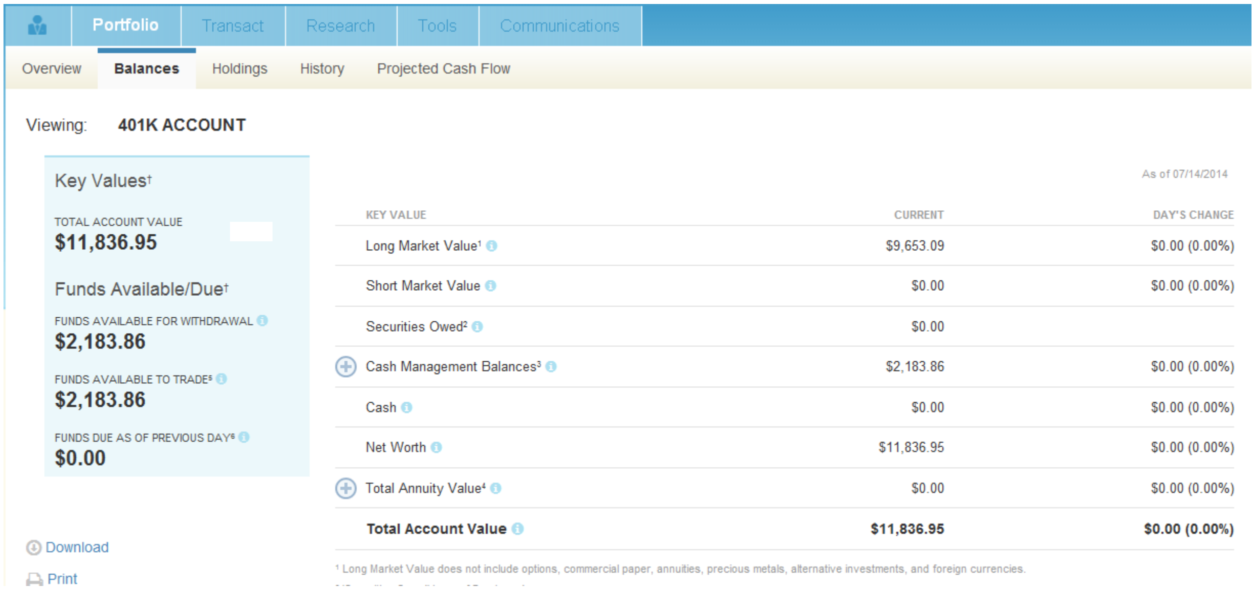
Viewing Account Information Online

Choose the Portfolio tab to view account information, including balances (pictured below), portfolio holdings and history.



Receiving Electronic Statements and Confirmations

Paperless account documents are good for the environment. If you've provided AFS with a valid e-mail address, you will automatically be set up for online viewing of statements, confirmations, and other important records. If we do not have an e-mail address for you, you will receive brokerage statements and confirmations in the mail. You can change to electronic delivery at any time by following these instructions:

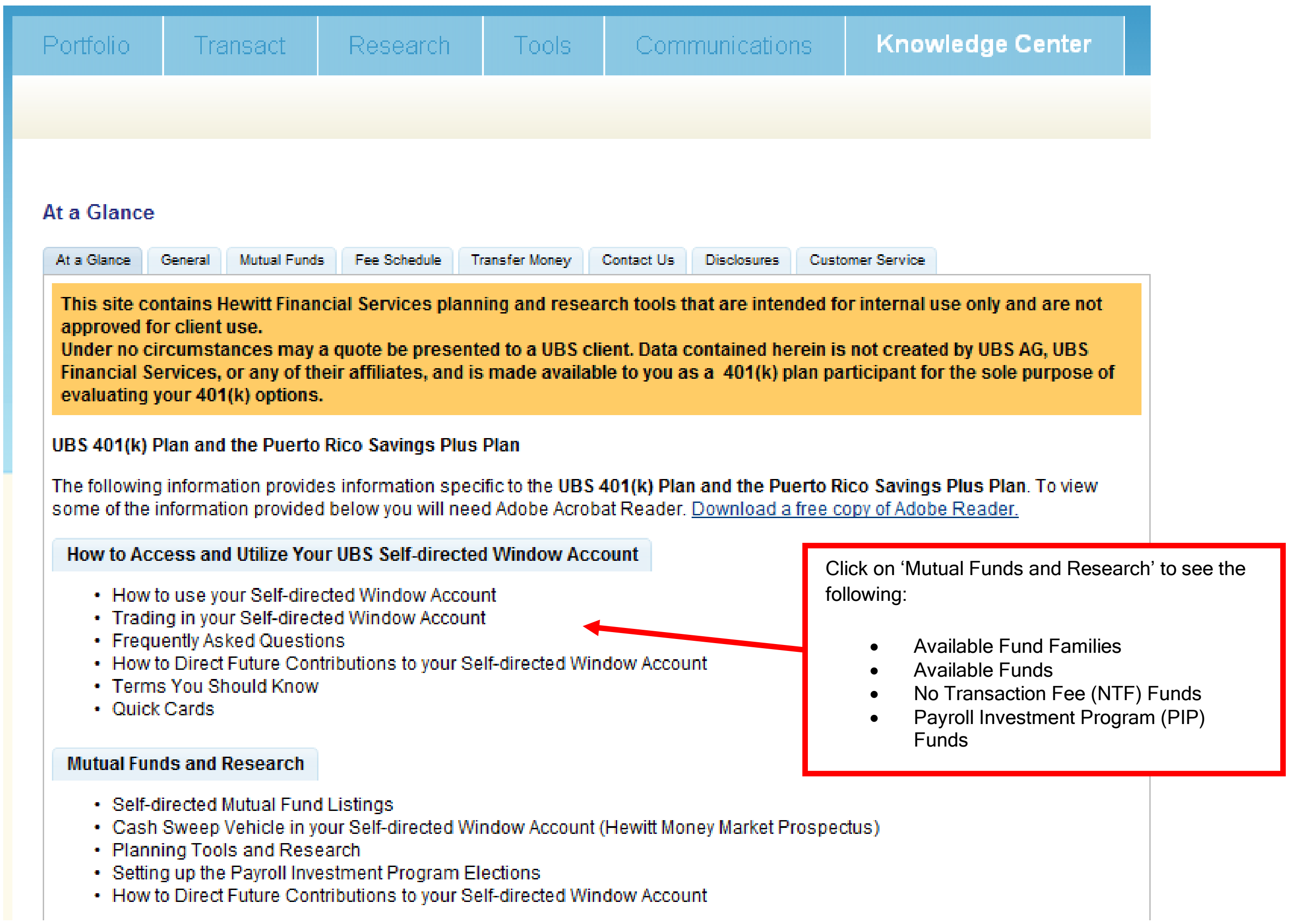
Switch to Electronic Document Delivery

Link to your Self-directed Window Account from your Plan's website:

1. Click the Communications tab.
2. Click the Settings tab.
3. Click e-Delivery Preferences.
4. Select Edit.

Accessing Additional Self-directed Window Account Information

Access additional information regarding your Self-directed Window Account via the Alight Financial Solutions Knowledge Center.



How to Access and Utilize Your UBS Self-directed Window Account – Includes a copy of this guide, frequently asked questions, instructions on how to direct future contributions to the window account and a glossary of terms you should know.

Mutual Funds and Research – Provides listings of all mutual funds offered through Alight Financial Solutions as well as those funds available through the No Transaction Fee (NTF) and Payroll Investment Program (PIP

Fee Schedule for UBS Self-directed Window – A copy of the UBS Commission and Fee Schedule and a list of frequently asked questions about window fees

How to Transfer Money To and From your Self-directed Window Account – Step by step instructions on transferring money, taking a loan and the Hewitt Money Market Prospectus.

Contact Alight Financial Solutions – Information on contacting Alight Financial Solutions by email, mail, phone or fax.

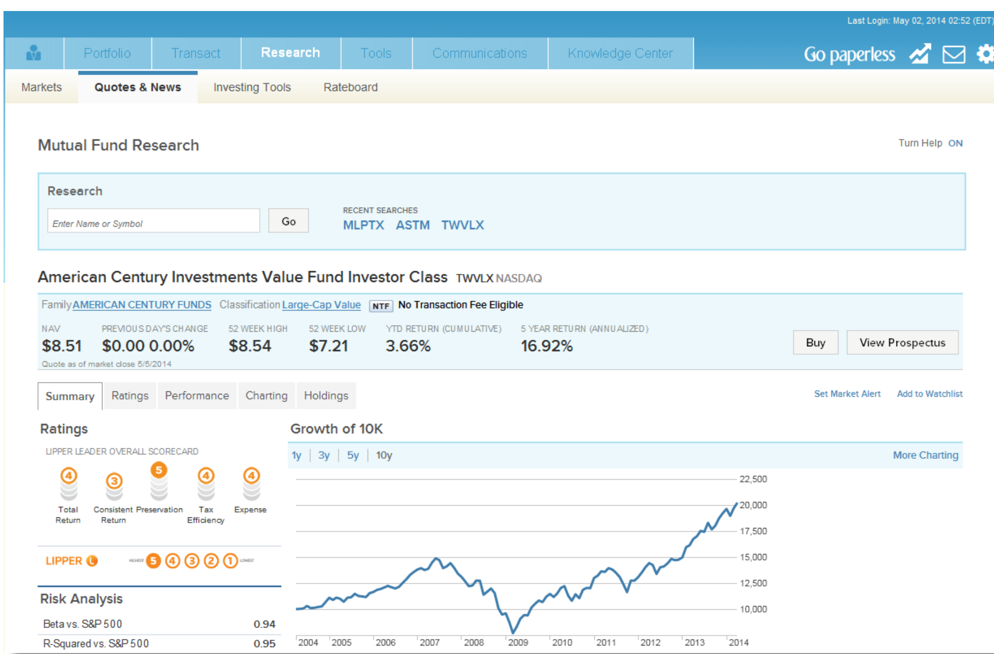
Disclosures – Additional disclosures related to your Self-directed Window Account.

Quotes & News

Alight Financial Solutions planning and research tools are intended for internal use only and are not approved for client use. Under no circumstances may a quote be presented to a UBS client. Data contained herein is not created by UBS AG, UBS Financial Services, or any of their affiliates, and is made available to you as a 401(k) plan participant for the sole purpose of evaluating your 401(k) options.

Get quotes for stocks, options or mutual funds Obtain the latest news headlines for each stock, as well as detailed information—including last bid, ask and volume numbers. You can also view charts for each security and modify the time series to track its price movement over time (up to ten years). You will have access to consensus research ratings for equities, insider ownership, earnings, annual reports[1] and SEC filings for the company you are researching. You can put your knowledge to work by creating watchlists of securities to track quotes and price performance.

You should carefully consider the investment objectives, risks, charges and expenses of mutual funds before investing, and also know that they may not be available in all jurisdictions. Please request the prospectus and, if available, the summary prospectus of each individual mutual fund and read carefully before investing. Information and content available on hewittfs.com is not intended as and does not constitute an offer, solicitation or recommendation to purchase or sell any security. Past performance is not indicative of and is not a guarantee of future performance.



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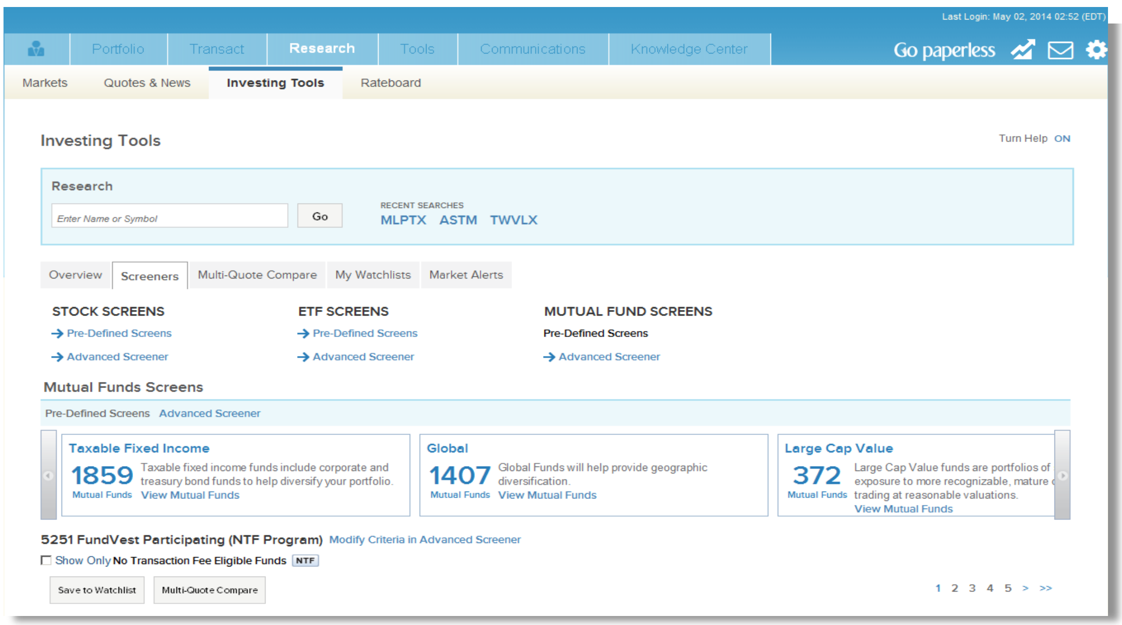
[1]Not all companies' annual reports are made available via this service.

Tools

The Tools section provides robust investment screening tools for equities and mutual funds. You can evaluate all available mutual funds from many different fund families, and evaluate funds that participate in the no-transaction-fee program. These straightforward screeners include pre-defined screens in which the criteria is preset and more advanced screens if you wish to filter your list of criteria (including fund family, profile, performance, risk, portfolio, and analyst rating categories).



Watchlists

Create customized watchlists of your preferred equities or mutual funds. Price, news, volume and watchlist alerts are among the available features.

Set price, news, volume, and watchlist alerts on the securities you are most interested in following. It is easy to set up delivery to an e-mail address of your choice. You can even put a hold on alerts while on vacation and resume them when you return.

How to Contact Alight Financial Solutions

Client Services—For assistance with trading, account information, or general investment questions 9am - 7pm EST, Monday through Friday	+1.888.251.2500
Email—For general questions	hfscustomerservice@alight.com
Correspondence Via Fax	+1.847.554.1444
Correspondence Via Regular Mail	Alight Financial Solutions PO Box 563901 Charlotte NC 28256-9953
Overnight, Certified, and Signature Required Customer Correspondence	Alight Financial Solutions 7201 Hewitt Associates Drive Charlotte NC 28262

How to Contact Your 401(k) Plan

Online	http://resources.alight.com/ubs
Benefits Express (U.S) 9am - 7pm EST, Monday through Friday	+1.888.251.2500
Benefits Express (outside U.S.) 9am - 7pm EST, Monday through Friday	+1.646.254.3465

Securities offered through Alight Financial Solutions LLC, member FINRA, SIPC.

Alight Financial Solutions is a subsidiary of Alight Solutions LLC.

Alight Financial Solutions LLC, member FINRA, SIPC, is a broker/dealer that primarily provides services to retirement plans. It is a subsidiary of Alight Solutions LLC, a global human resources outsourcing and consulting firm, founded in 1940 and headquartered in Lincolnshire, Illinois. Securities are offered by Alight Financial Solutions, Member FINRA, SIPC.